

PATENT  
Attorney Docket No. FJN-060DV2  
(3999/63)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Goto et al.  
SERIAL NO.: Not yet assigned GROUP NO.: Not yet assigned  
FILING DATE: Herewith EXAMINER: Not yet assigned  
TITLE: NOVEL PROTEINS AND METHODS FOR PRODUCING THE PROTEINS

#4  
AJ  
8/22/99

## INFORMATION DISCLOSURE STATEMENT

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

(1) within three (3) months of the **Filing Date** or before the mailing date of the **First Office Action** on the merits; or

(2) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and

the requisite Statement is below, **OR**

the requisite fee under Rule 1.17(p), namely \$240.00, is included herein, or

(3) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, **AND**

Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, **AND**

the requisite Statement is below, **AND**

Information Disclosure Statement

Serial No.:

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the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

**STATEMENT**

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

1. [E]ach item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing date of the Information Disclosure Statement; or

2. [N]o item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in §1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

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